

# **GDPR - Data Protection Notice and Consent**

Your privacy is important to us. We will comply with data protection law. This says that the personal information we hold about you must be:

1. Used lawfully, fairly and in a transparent way.
2. Collected only for valid purposes that we have clearly explained to you and not used in any way that is incompatible with those purposes.
3. Relevant to the purposes we have told you about and limited only to those purposes.
4. Accurate and kept up to date.
5. Kept only as long as necessary for the purposes we have told you about.
6. Kept securely.

### **Lawful use of your information without needing your consent**

We will only use your personal information when the law allows us to. Most commonly, we will use your personal information in the following circumstances:

1. Where we need to, to perform the contract/s we enter into with you.
2. Where we need to comply with a legal or regulatory obligation.
3. Where it is necessary for our legitimate interests (or those of a third party) and your interests and fundamental rights do not override those interests.

### **Our use of your information when your consent is needed**

A We may need to put cookies onto your computer to best achieve the purposes set out above.

B From time to time we may contact you about other products and services from Whittworth Investing and its business partners. You may opt-out of receiving mailings by contacting us email: [compliance@whittworthinvesting.com](mailto:compliance@whittworthinvesting.com)

C We may need to disclose your personal information:

- (i) to any other company in the Whittworth Investing group and to future owners of our business;
- (ii) to third party service providers and professional advisors contracted to provide us with administrative, legal, IT, financial, regulatory, compliance, insurance, research or other services;
- (iii) to other third parties necessary to provide the products and services requested by you including to introducing brokers and affiliates with whom we have a mutual relationship and to attorneys acting under powers of attorney with you;
- (iv) to such third parties as we deem reasonably necessary in order to prevent crime;
- (v) to any organisation or person expressly instructed by you;

(vi) to such third parties as we see fit to assist us in enforcing our legal or contractual rights against you including but not limited to credit agencies, debt collection agencies and legal advisors.

We require third parties to respect the security of your data and to treat it in accordance with the law. We do not allow our third-party service providers to use your personal data for their own purposes.

### **Your explicit consent**

In respect of each of the uses described in A, B and C above you hereby explicitly consent to such use.

### **Your rights**

If you want to review, verify, correct or request erasure of your personal information, object to the processing of your personal data, or request that we transfer a copy of your personal information to another party, please contact our Compliance Manager at [compliance@whittworthinvesting.com](mailto:compliance@whittworthinvesting.com) or at the address details given below in writing. For further information as to what types of data we collect and how we manage and use it, please see our Privacy Policy available at: [http://download.whittworthinvesting.com/Whittworth\\_Investing\\_Privacy\\_Policy.pdf](http://download.whittworthinvesting.com/Whittworth_Investing_Privacy_Policy.pdf)

YOU CAN WITHDRAW ANY ASPECT OF YOUR CONSENT AT ANY TIME by contacting our Compliance Manager in writing at [compliance@whittworthinvesting.com](mailto:compliance@whittworthinvesting.com) or at the address details given below but please note that this is likely to have an impact upon the products and services we can provide to you.

The Client has read and understood this Data Protection Notice and Consent and our Privacy Policy.